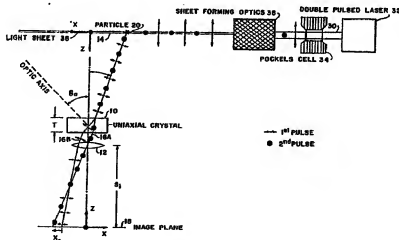




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US90/01322</p> <p>(22) International Filing Date: 10 March 1990 (10.03.90)</p> <p>(30) Priority data: 322,114 13 March 1989 (13.03.89) US</p> <p>(71) Applicant: THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS [US/US]; 506 South Wright Street, Urbana, IL 61801 (US).</p> <p>(72) Inventors: ADRIAN, Ronald, J. ; 1908 Woodfield Road, Champaign, IL 61821 (US). LANDRETH, Christopher, C. ; 402 South Race Street, Number 8, Urbana, IL 61801 (US).</p>		<p>(74) Agent: YAHWAK, George, M.; Yahwak &amp; Associates, 25 Skytop Drive, Trumbull, CT 06611 (US).</p> <p>(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), IT (European patent), JP, KP, LU (European patent), NL (European patent), SE (European patent).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: ELECTRO-OPTICAL METHOD AND SYSTEM FOR DETERMINING THE DIRECTION OF MOTION IN DOUBLE-EXPOSURE VELOCIMETRY BY SHIFTING AN OPTICAL IMAGE FIELD



## (57) Abstract

The present invention is an improvement on a system for double-pulsed particle velocimetry in which small scattering particles (20) are illuminated by two short pulses of laser light and then images are recorded photographically (18) to produce a record from which the particle velocity can be determined by measuring the displacement of the particle images. The known system involves a method and apparatus for resolving the ambiguity which exists with respect to the direction of displacement of particle images so recorded by multiple exposures on film or videographic media. The directional ambiguity is resolved by means of shifting the image field between exposures by an amount (XS) that is greater than any negative displacement occurring in the image field. In this way, all recorded displacement are positive, and negative displacements are obtained from the measurements. The present improvement incorporates an electro-optical device (34) that performs the desired image shifting function, without mechanical motion, in place of the shifting produced by rotating mirrors and the like.

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ELECTRO-OPTICAL METHOD AND SYSTEM FOR DETERMINING  
THE DIRECTION OF MOTION IN DOUBLE-EXPOSURE  
VELOCIMETRY BY SHIFTING AN OPTICAL IMAGE FIELD

The invention herein resulted from work  
5 which is supported by TSI Inc. and the National  
Science Foundation under NSF ATM 86-00509.

BACKGROUND OF THE INVENTION

The present invention is an improvement on  
that previously described in co-pending  
10 application 023,773, of which the present  
application is a continuation-in-part. The  
benefit of the filing date of that co-pending  
application with respect to common subject matter  
is herewith claimed.

15 The present invention pertains to the  
measurement of the velocity of fluid flows and,  
more particularly, to systems in which the fluid  
flow rate is inferred from measurements made in  
the context of double-pulsed particle image  
20 velocimetry, in which small scattering particles  
are illuminated by two short pulses of laser  
light or other light, and their images are  
recorded photographically to produce a record

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from which the particle velocity can be determined by measuring the displacement of the particle images.

For specific background material, reference 5 may be made to U.S. patent 4,729,109, assigned to the assignee of the present invention; also to co-pending application serial number 023,773, also assigned to the assignee of the present invention.

10 As will be appreciated by the references cited above, particle image velocimetry (PIV) is a well-established technique in experimental photo-mechanics for quantitatively measuring velocity data at a given instant of time over an 15 extended flow field. A variety of other references which were cited in co-pending application 023,773 may be consulted for the details of the technique involved.

Generally speaking, in the PIV technique the 20 flow is seeded with small particles, typically ten micrometers or less in size, and illuminated by a thin sheet of pulsed laser light, typically coded with a double pulse. Particles moving within the light sheet are recorded

photographically as pairs of particle images. The local fluid velocity is found on a grid of small "interrogation spots" on the photograph by sequentially measuring the displacement  $\Delta x$  of the images within each spot. Interrogation is accomplished by a variety of methods including the Young's fringe method, direct measurement of image-to-image displacement, and spatial correlation analysis. By successively analyzing the photograph at many adjacent interrogation regions, local velocity may be inferred in a large number of points in the flow field.

Given identical conditions in viewing each of the two exposures on a double-pulsed PIV photograph, there exist no characteristics on the photograph to distinguish first images from second images. As a result, measurement of the particle image separation cannot determine the polarity of the fluid velocity and the velocity vector is ambiguous in sign:  $\pm u$ . Clearly, it is necessary to determine the order of the two exposures on the photograph to eliminate directional ambiguity.

In accordance with the invention described in co-pending application 023,773, a technique known as "image shifting" has been shown to be effective in resolving directional ambiguity.

5 This method displaces the photographic image field by an appropriate uniform, known distance between the first and second pulses. As a result, the second image of each particle image pair is shifted by a displacement  $X_s$  such that

10 the most negative fluid velocity still produces a positive displacement of the second particle image with respect to the first. After the interrogation, the artificial shift  $X_s$  is subtracted mathematically to obtain the actual

15 fluid velocity.

Several methods have been implemented to produce the image shifting during the recording procedure in particle image velocimetry. Image shifting has been accomplished by placing a

20 rotating mirror in front of the photographic lens, and by translating the camera apparatus between exposures. Other methods have also been proposed, including moving the film within the camera and translating the camera lens with a

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piezoelectric device. The drawback with each of the above-noted methods is the mechanical motion involved in part of the recording apparatus between the first and second light pulses. Consequently, they are subject to limitations on speed due to the dynamics of moving components, and limitations on accuracy and consistency.

Accordingly, it is a primary object of the present invention to overcome the limitations on operations at high and low speeds in connection with image shifting in pulsed velocimetry systems, and to carry out the operations with a high degree of accuracy.

Another object is to permit image shifting in periods of time less than one microsecond.

A further object is to provide precise reproducible shifts without the need for moving parts to accomplish them.

#### SUMMARY OF THE INVENTION

The above and other objects are fulfilled in accordance with the present invention by a primary feature involving an electro-optic image shifting arrangement including a birefringent,

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uniaxial crystal plate; and a camera lens interposed between said crystal plate and the image plane, the crystal plate being cut with its "surface normal" aligned parallel to the crystal's optic axis, such that a given light ray from any source in the object plane is refracted into two parallel light rays having mutually orthogonal states of linear polarization. Accordingly, for a given scattering particle in the object plane, two images of the particle are formed at the film plane of the camera, separated by  $\Delta s$ , which is the amount of image shifting introduced by the present invention. However, it will be understood that when the moving particle or the image thereof is recorded by means of two illuminating pulses, four images are thereby formed.

In accordance with a more specific feature of the present invention, means are provided for controlling the polarization of the light scattered from the seeding particles so that the first illuminating pulse from the source scatters light which is linearly polarized and parallel to the principal plane of the birefringent crystal,



and the second pulse scatters light which is linearly polarized at 90 degrees with respect to the light from the first pulse, whereby only two particle images will be formed for a single moving particle.

Other and further objects, advantages and features of the present invention will be understood by reference to the following specification in conjunction with the annexed drawing, wherein like parts have been given like numbers.

## BRIEF DESCRIPTION OF DRAWING

Fig. 1 is a schematic diagram of the electro-optic image shifting system of the present invention.

5 Fig. 2 illustrates the polarization of linearly-polarized light at 90 degrees by a spherical particle.

Figs. 3A and 3B illustrate the light scattering response of plastic particles in water  
10 ( $n/n_0=1.20$ ) for linearly-polarized illumination ( $\lambda = 694.3\text{nm}$ ), at 90 degrees side-scatter, using a finite light gathering cone. Numerical calculations incorporate Mie scattering theory and assume a lens aperture of f16. The two  
15 horizontal bars in each graph bound the approximate range of scattering response suitable for Kodak Technical Pan 2415 film.

Figs. 4A, 4B, and 4C illustrate image shift response using a calcite crystal ( $n_0 = 1.65259$ ,  
20  $n_e=1.49382$ ), having an optic axis angle  $\theta_a = 53.58^\circ$ . Open circles indicate observed measurements. Fig. 4A shows principal image shift vs. the tangent of the principal angular derivation from the photographic axis; Fig. 4B is

the same as Fig. 4A but reduced scale; Fig. 4C shows transverse image shift vs. the tangent of the transverse angular deviation from the photographic axis.  $X_{SO}$  is the on-axis image shift, and  $s_i$  is the image distance.

Figs. 5A and 5B illustrate PIV velocity vector maps of a uniformly-displaced water flow field having a small transient flow velocity, using electro-optic image shifting. Fig. 5A shows stationary reference frame. Fig. 5B shows reference frame moving at the uniform translation velocity.

#### DESCRIPTION OF PREFERRED EMBODIMENT

Referring now to the figures of the drawing and, in particular, Fig. 1, there is illustrated in this figure an embodiment of the electro-optic image shifting system of the present invention. As will be seen therein, a birefringent, uniaxial crystal plate 10 (for example, calcite) is positioned in front of a camera lens 12. The plate is cut with its "surface normal" aligned parallel to the crystal's principal plane and at an oblique angle  $\theta_a$  to the crystal's optic axis,

10

such that a given light ray from any source in the object plane 14 is refracted into two parallel light rays 16A and 16B, commonly referred to as an o-ray and an e-ray, having  
5 mutually orthogonal states of linear polarization. Consequently, the separation of the two rays is nearly uniform for a wide range of incident angles and scaled to the desired image shift  $X_s$  seen on the image plane 18 for the  
10 chosen camera magnification.

For a given scattering particle 20 in the object plane 14 within the flow field, two images of that particle are formed at the film or image plane 18 of the camera, separated by  $X_s$ . The  
15 intensities of the two images are equal to the intensities of the two orthogonal components of polarization, with respect to the crystal's principal plane, of the light incident on the plate 10. Accordingly, when a moving particle is  
20 recorded with two illuminating pulses, four images are formed, arranged in a parallelogram having two sides equal in length to the image displacement  $(\Delta X)$  and two sides equal to  $X_s$ .

If the polarization of the light scattered from the seeding particles is controlled so that the first illuminating pulse scatters light which is linearly polarized and parallel to the principal plane of the birefringent crystal plate 10, and the second pulse gathers light which is linearly polarized at 90 degrees with respect to the light from the first pulse, then only two particle images will be formed for a single particle, displaced by the vector sum of  $\Delta x$  and  $x_s$ . This is the desired result for any image shifting technique.

In the system shown in Fig. 1, the polarization of the scattered light is controlled by switching the polarization of the laser beam 30 at the exit of the cavity of double-pulsed laser 32. A Pockels cell 34, well-known per se, is used with the double-pulsed laser to switch the linear polarization, between pulses, from a vertical state to a horizontal state (or vice versa). The output of Pockels cell 34 is transmitted to sheet forming optics means 36, also well-known, thus producing the light sheet 38. An alternate system to that of Fig. 1

incorporates the output from two orthogonally-polarized single-pulsed lasers, fired in sequence, which are combined using a polarizing beam-splitting cube.

5 In the system of Fig. 1, the extinction by the uniaxial plate 10 of the shifted image of the first exposure and the unshifted image of the second exposure depends upon creating linear, orthogonal states of polarization over the solid  
10 angle  $\Omega$  defined by the photographic aperture. In general, for spherical particles, the polarization of the scattered wave is given by the Mie scattering coefficient

$$\underline{E}(\hat{r}) = A(\theta) \sin \phi \underline{e}_\theta + B(\theta) \cos \phi \underline{e}_\phi,$$

where A and B are complex functions of  $\theta$  and all  
15 other quantities are defined in Fig. 2. When side-scattering occurs over an infinitesimal solid angle perpendicular to the direction of illumination  $\hat{s}$ , e.g. centered on the photographic axis z, the polarization of the  
20 scattered light wave is linear. If the illuminating beam is polarized vertically ( $E_{01}$ ), then the side-scattered light is also vertically polarized:  $\underline{E}_1 = -A(90^\circ) \hat{y}$ . If the illuminating

wave is polarized horizontally, then the side-scattered wave is also polarized horizontally:

$I_2 = -8(90) \times$ . In the ideal limit of infinitesimal solid angle, the first and second exposures of a particle centered in the camera's field of view would be polarized, respectively, in the X and Y directions of the camera coordinates (Fig. 1), as desired.

Practical applications of this approach require orthogonal polarization of the two scattered light waves when the photographic field of view is finite and the solid angle of the lens is finite. The latter effect has been evaluated by calculating the scattering characteristics of typical PIV seeding particles, using Mie scattering theory.

Figure 3 shows the results of calculations of the scattering efficiency (defined as the ratio of the particle image intensity to the illumination intensity) performed for a polystyrene particle in water, in which the particle diameter is varied up to 10  $\mu$ m and a lens aperture of f16 is used. In Fig. 3A, two curves are shown corresponding to the X and Y

components of the scattered light, for a  $\hat{y}$ -polarized light source. The  $\hat{y}$  polarization component is over four orders of magnitude larger than the  $\hat{x}$  component for the entire range of particle diameters. In Fig. 3B, the source is switched to  $\hat{z}$ -polarization, and the  $\hat{x}$  and  $\hat{y}$  responses likewise switch. The  $\hat{x}$ -component dominates by over four orders of magnitude. Calculations using a variety of other particle sizes and compositions (including hollow spheres and metallic-coated spheres) show similar tendencies for the scattered light waves to retain linear, orthogonal polarization when the scattering occurs over finite solid angles normal to the illuminating light.

The effect of finite field of view is to include scattering from off-axis particles whose mean scattering angles are not perpendicular to the light sheet (e.g.  $\theta \neq 90^\circ$ ). In general, the polarizations at these angles need not be linear or orthogonal. However, experimental examination of the ratio of the horizontally and vertically polarized waves indicates that the extinction ratio is large over a  $\pm 20^\circ$  field-of-view for 15



micron polystyrene particles in water and 4 micron silver-coated glass spheres in water. The use of a finite field of view is thus not a limiting factor in these cases.

- 5       The design of the uniaxial crystal plate 10 (Fig. 1) involves appropriate selection of the angle between the optic axis  $\theta_a$  and the normal to the transmitting surfaces, and the plate thickness  $T$ .  $\theta_a$  is chosen so that the image
- 10 shift  $X_s$  ( $X$ ,  $Y$ ) is nearly uniform across the photograph, and  $T$  is selected so that the shift is scaled to the desired constant value  $X_s$  for the user-chosen camera magnification. The optimal value of  $\theta_a$  has been determined
- 15 computationally by modeling the propagation of light through uniaxial calcite material using Huygens's wavefront construction in three dimensions. A value  $\theta_a = 53.58$  degrees results in a shift magnitude  $X_s$  along the principal plane
- 20 of the crystal which is very uniform. The calculations in Fig. 4A indicate a maximum variation of the shift in the  $X$ -direction that is less than 0.15 per cent. The computations indicate the presence of a non-negligible

transverse component  $Y_s(X,Y)$ , perpendicular to the principal plane, which is shown in Fig. 4C. The image shift vector  $I_s$  is thus somewhat non-uniform, but the non-uniformity is known a priori and thus may be corrected computationally during the interrogation procedure.

#### EXPERIMENTAL RESULTS

An electro-optic image shifting system using the Pockels cell system of Fig. 1 has been constructed. The response time of the Pockels cell is approximately 10 ns, which is comparable to the pulse width of the double pulsed lasers used for PIV, and therefore capable of image shifting the fastest flow fields that are currently contemplated. Currently there are three calcite plates in use having thicknesses of 2 mm, 6 mm, and 10 mm, which may be mounted separately or combined in front of the camera lens to provide image shifts magnitudes of 0.2mm  $< X_s < 1.8$  mm.

Two experiments were performed to evaluate the image-shifting technique. In the first, the accuracy of image-shifted measurements was

evaluated across a PIV photograph. For this experiment, single-pulse illumination was used to record a stationary flow field illuminated by a circularly polarized beam. The Pockels cell was not activated in this experiment. As a result, the PIV photographic field contained two images of each particle, separated by the local value of  $X_s$  for that image pair. Over three hundred particle image displacements were measured across the photograph. A selection of these measurements, collapsed along the  $X$ - and  $Y$ -directions, are plotted in Figs. 4B and 4C. The measurements agree well with the numerical predictions. The RMS error of the measurements is approximately 0.8% full-scale, most of which may be attributed to the accuracy limitations of the PIV interrogation system used to extract information from the photograph.

In the second experiment, the entire image shifting system was evaluated using PIV photos of nearly-quiescent water contained in a test section which was horizontally displaced with a uniform velocity  $\bar{U}$ . A small transient velocity  $u_t(x,y,z)$  was present in the flow during the

uniform translation, where  $|u_t|_{\max} < 0.15 \bar{U}$ . The calcite plate was oriented to provide a vertical image shift. The photographs were taken over an extended field of view ( $\pm 15^\circ$ ) using a finite camera aperture (f8). Each interrogation of a PIV photograph of the flow generally produced a highly detachable measurement of the particle image displacement, consisting of the vector sum of the image shift  $\underline{y}_s(X,Y)$ , the displacement  $M \Delta t \bar{U}$ , and a small component which is attributed to the transient displacement  $M \Delta t u$  ( $M$  is the magnification of the camera). The vector map of the interrogated PIV photograph (Fig. 5A) shows a highly resolved-velocity field  $\bar{U} + \underline{u}_t(x,y)$ . When the translation velocity  $\bar{U}$  is subtracted from the vector field, the resulting map (Fig. 5B) clearly reveals the transient structure.

#### SUMMARY AND CONCLUSIONS

In summary, image shifting based on electro-optic hardware is an effective approach in PIV that offers several advantages over mechanical shifting techniques. Two systems for implementing this approach, one incorporating a

Pockels cell to modulate the output from a single laser and the other incorporating the output from two orthogonally polarized lasers have been described. The use of a properly designed calcite element results in an image shift which is nearly uniform over a wide light-gathering cone. The method is accurate, reliable and fast enough to accommodate the highest fluid velocities likely to be studied with particle image velocimetry, and it is equally applicable to laser speckle velocimetry.

It is understood that the effectiveness of either of the two systems described above depends upon retaining light polarization after sidescatter. Consequently they may be limited to certain ranges of particle types, particle sizes, angular fields of view and camera apertures. Further investigation is required to determine these limitations. An alternative electro-optical system, which incorporates a wide-angle Pockels cell in front of the uniaxial plate for directly modulating the particle-scattered light, is anticipated to expand the effectiveness of

electro-optic image shifting, and is currently being investigated.

While there has been shown and described what is considered at present to be the preferred embodiment of the present invention, it will be appreciated by those skilled in the art that modifications of such embodiment may be made. It is therefore desired that the invention not be limited to this embodiment, and it is intended to cover in the appended claims all such modifications as fall within the true spirit and scope of the invention.

## CLAIMS

1. In a particle-image velocimetry system in which images are created by accurately timed pulses of light from a light source, and successive particle images, resulting from seeding particles being displaced by the motion of a fluid, are recorded, such that the fluid velocity is inferred from the displacement of the image field between exposures, the improvement which comprises:

means for determining unambiguously the direction of displacement and hence the sign of the velocity vector, said means including means for shifting the successive particle images with respect to the first image so that all image displacements are positive even though physical displacements of the seeding particles may be negative, said means for shifting being an electro-optic image shifting arrangement, including a birefringent, uniaxial crystal plate; and a camera lens interposed between said plate and the image plane.

2. The system as defined in claim 1, in which said crystal plate is cut with its surface normal aligned parallel to the crystal's principal plane and at an oblique angle  $\theta_a$  to the crystal's optic axis, such that a given light ray from any source in the optic plane is refracted into two parallel light rays having mutually orthogonal states of linear polarization.

3. In a system as defined in claim 1 in which the light source is a double-pulsed laser, and further comprising a Pockels cell, sheet-forming optics, and a light sheet produced by said sheet-forming optics.

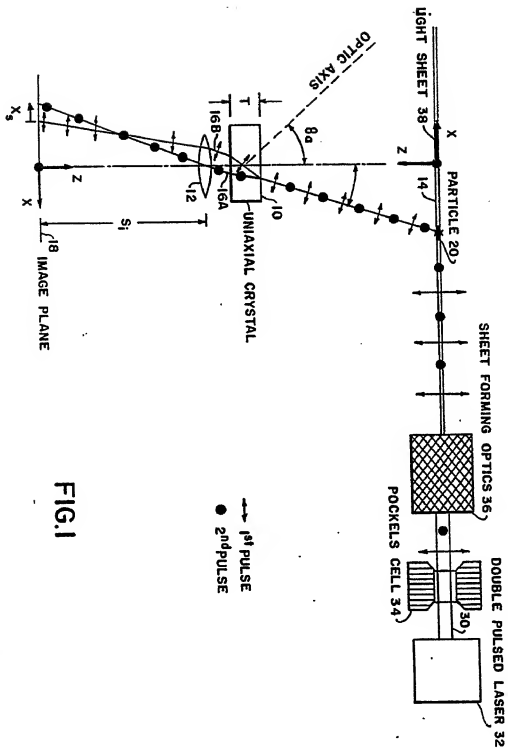
4. In a system as defined in claim 3, the improvement further comprising means for controlling the polarization of the light scattered from the seeding particles so that the first illuminating pulse scatters light which is linearly polarized and parallel to the principal plane of the birefringent crystal plate, the second pulse scatters light which is linearly



polarized at 90 degrees with respect to light from the first pulse, whereby only two particle images are formed for a single particle, displaced by the vector sum of  $\Delta x$  and  $x_s$ , where  $\Delta x$  is the displacement between successive images and  $\Delta$  and  $x_s$  is the image shift for each of the images.

5. In a system as defined in claim 4, in which said means for controlling the polarization of the scattered light includes means for switching the polarization of the laser beam at the exit of the laser cavity.

6. In a system as defined in claim 5, in which said means for switching comprises a Pockels cell for switching the linear polarization, between pulses, from a vertical state to a horizontal state.



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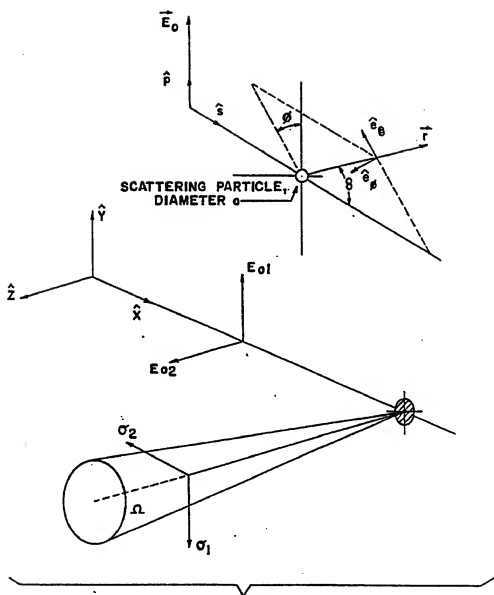


FIG.2

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FIG.3A

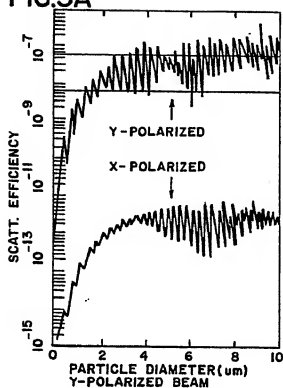


FIG.3B

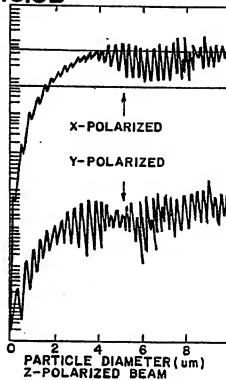


FIG.5A

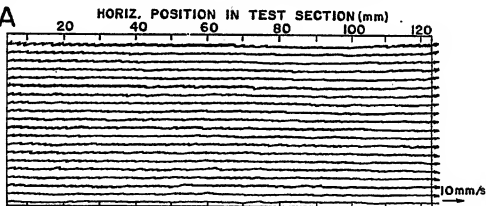


FIG.5B

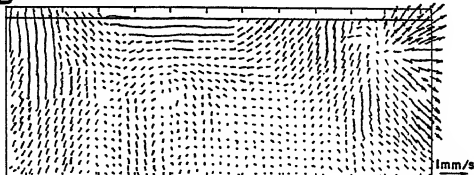


FIG.4A

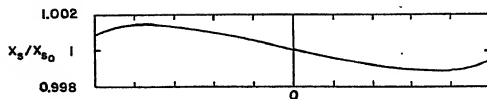


FIG.4B

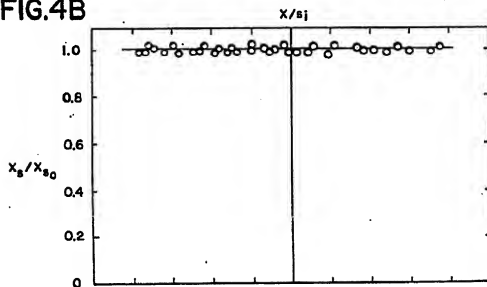
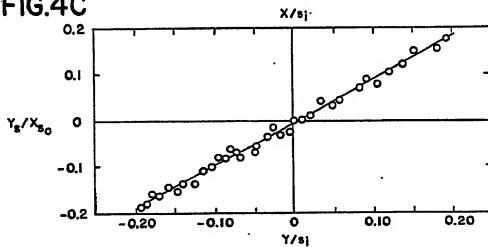


FIG.4C



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# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US96/01322**

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) \*

According to International Patent Classification (IPC) or to both National Classification and IPC

**IPC(5) G01P 3/36**

**U.S. CL. 356/28; 73/861.05**

## II. FIELDS SEARCHED

Minimum Documentation Searched \*

Classification System

Classification Symbols

**U.S. 356/28, 28.5  
73/861.05, 861.06**

Documentation Searched other than Minimum Documentation  
to the extent that such Documents are included in the Fields Searched \*

## III. DOCUMENTS CONSIDERED TO BE RELEVANT \*

Category *	Citation of Document, ** with indication, where appropriate, of the relevant passages *	Relevant to Claim No. *
A, P	US, A, 4,851,697 SCHODL published 25 July 1989	1-6
A	US, A, 4,733,962 BRENDENUEHL published 29 March 1988	1-6

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## IV. CERTIFICATION

Date of the Actual Completion of the International Search

Date of Mailing of the International Search Report

**21 MAY 1990**

**18 JUL 1990**

International Searching Authority

Signature of Authorizing Officer

**ISA/US**

**STEPHEN C. BUZZINSKI**